



Satisfiability of a Spatial Logic with Tree Variables

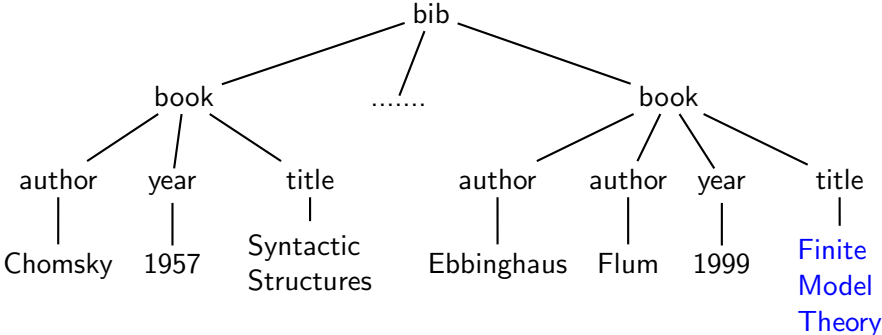
Emmanuel Filiot INRIA Futurs, Lille, Mostrare Project
Jean-Marc Talbot University of Provence, LIF, Marseille
Sophie Tison University of Lille1, LIFL, Mostrare Project

Lausanne, 2007

The Tree Query Logic (TQL)

- introduced by Cardelli and Ghelli (ICALP'02)
- adapted to ordered unranked trees
- to query XML documents
- boolean operations, recursion, tree variables
- extends CDuce pattern-matching language (non-linearity, ...)
- variable-free fragment studied for unordered trees (Boneva, Talbot, Tison, LICS'05)

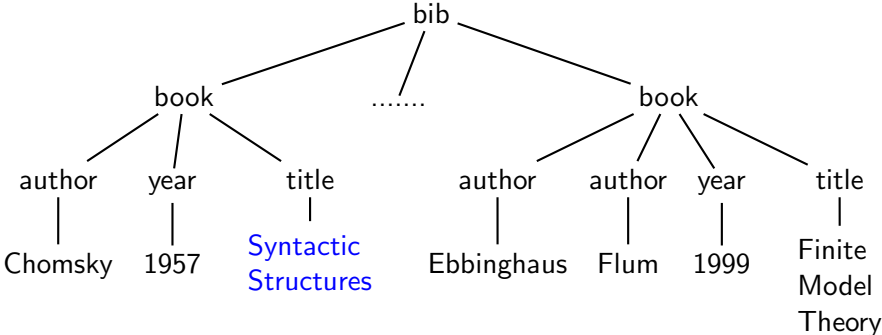
Example



Select titles

$\text{bib}[_ ; \text{book}[_ ; \text{title}[X]] ; -]$

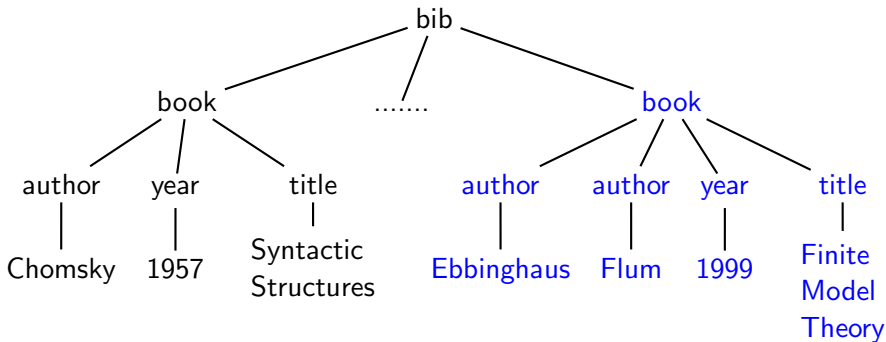
Example



Select titles

$\text{bib}[_ ; \text{book}[_ ; \text{title}[X]] ; -]$

Example

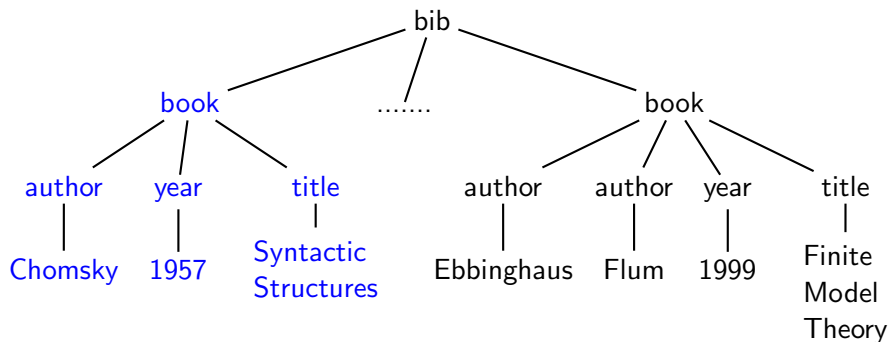


Select books published in 1999

$\text{bib}[_; \text{book}[_; \text{year}[1999] ; _] \wedge X ; _]$

→ Model data-values by an infinite alphabet

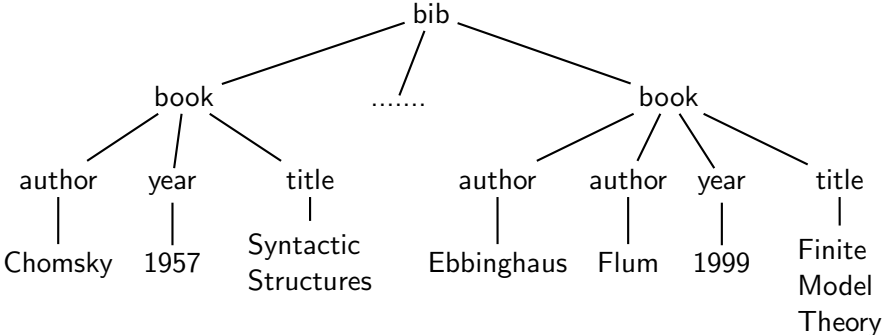
Example



Select books not published in 1999

$\text{bib}[_; \text{book}[_; \text{year}[\neg 1999]; _] \wedge X; _]$

Example

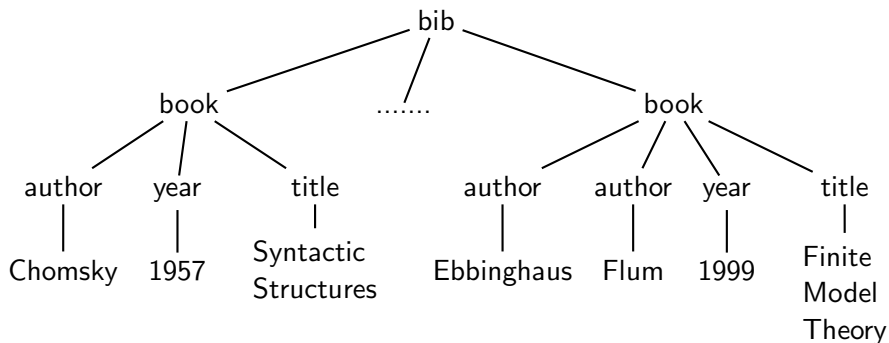


Select books which occur at least twice

$$\text{bib}[_ ; X \wedge \text{book}[_] ; _ ; X \wedge \text{book}[_] ; _]$$

→ Use non-linearity to check tree equalities

Example



Check whether every book has at least one author

$\text{bib}[(\text{book}[\text{author}[-] \ ; \ -])^*]$

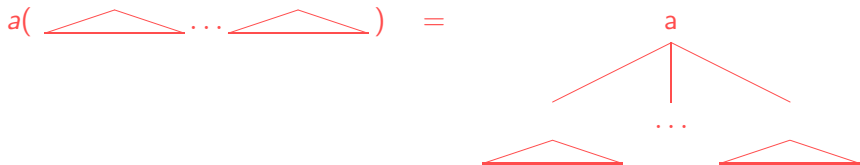
→ Use iteration to navigate by width

Outline

- 1 **Hedges, Automata and TQL**
- 2 Towards a Decidable Fragment of TQL
- 3 Tree Automata with Global Equalities and Disequalities (TAGED)
- 4 MSO with Tree Isomorphisms Tests

Hedge Signature

- $\Sigma = \{a, b, f, \dots\}$: countable set of labels
- constant 0 : empty hedge
- unary symbols $a \in \Sigma$:



- binary symbol \circ



Hedges

Definition (Hedges)

A **hedge** h is a term over the signature $\{0, \mathbin{\text{;}}, (a)_{a \in \Sigma}\}$. Equality relation satisfies:

$$0 \mathbin{\text{;}} h = h \quad h \mathbin{\text{;}} 0 = h \quad h_1 \mathbin{\text{;}} (h_2 \mathbin{\text{;}} h_3) = (h_1 \mathbin{\text{;}} h_2) \mathbin{\text{;}} h_3$$

A **tree** is a rooted hedge.

Example

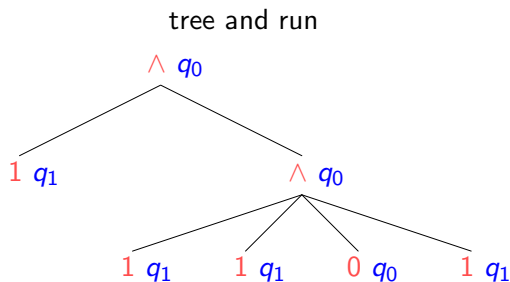
$$b(b(0)) \mathbin{\text{;}} a(a(0)) \quad \rightarrow \quad \begin{array}{cc} b & a \\ | & | \\ b & a \end{array}$$

$$a(b(0) \mathbin{\text{;}} c(0) \mathbin{\text{;}} d(0)) \quad \rightarrow \quad \begin{array}{ccc} & a & \\ & | & \\ b & c & d \end{array}$$

Hedge Automata (Murata, 99)

- Q : set of states
- $F \subseteq Q$: set of final states
- $\Delta \subseteq 2^\Sigma \times \text{REG}(Q) \times Q$: set of rules, denoted $\alpha(L) \rightarrow q$
- α finite or cofinite set of labels
- $a(L) \rightarrow q$ stands for $\{a\}(L) \rightarrow q$

Example



transitions

$$1(\epsilon) \rightarrow q_1$$

$$0(\epsilon) \rightarrow q_0$$

$$\wedge(q_1^* q_0 (q_0 + q_1)^*) \rightarrow q_0$$

$$\wedge(q_1^*) \rightarrow q_1$$

final states

$$F = \{q_1\}$$

TQL formulas

- formulas ϕ interpreted as set of hedges: $[[\phi]] \subseteq \text{Hedges}$
- syntax and semantics:

empty hedge	0
location	$\alpha[\phi]$
concatenation	$\phi \circledast \phi'$

TQL formulas

- formulas ϕ interpreted as set of hedges: $\llbracket \phi \rrbracket \subseteq \text{Hedges}$
- syntax and semantics:

empty hedge	$\llbracket 0 \rrbracket$	=	$\{0\}$
location	$\llbracket \alpha[\phi] \rrbracket$	=	$\{a(h) \mid h \in \llbracket \phi \rrbracket, a \in \alpha\}, \alpha \subseteq \Sigma$
concatenation	$\llbracket \phi ; \phi' \rrbracket$	=	$\{h ; h' \mid h \in \llbracket \phi \rrbracket, h' \in \llbracket \phi' \rrbracket\}$

TQL formulas

- formulas ϕ interpreted as set of hedges: $\llbracket \phi \rrbracket \subseteq \text{Hedges}$
- syntax and semantics:

empty hedge	$\llbracket 0 \rrbracket$	=	$\{0\}$
location	$\llbracket \alpha[\phi] \rrbracket$	=	$\{a(h) \mid h \in \llbracket \phi \rrbracket, a \in \alpha\}, \alpha \subseteq \Sigma$
concatenation	$\llbracket \phi ; \phi' \rrbracket$	=	$\{h ; h' \mid h \in \llbracket \phi \rrbracket, h' \in \llbracket \phi' \rrbracket\}$
truth	$\llbracket - \rrbracket$	=	Hedges
conjunction	$\llbracket \phi \wedge \phi' \rrbracket$	=	$\llbracket \phi \rrbracket \cap \llbracket \phi' \rrbracket$
negation	$\llbracket \neg \phi \rrbracket$	=	$\text{Hedges} \setminus \llbracket \phi \rrbracket$
iteration	$\llbracket \phi^* \rrbracket$	=	$0 \cup \underbrace{\bigcup_{i>0} \llbracket \phi \rrbracket ; \dots ; \llbracket \phi \rrbracket}_{i \text{ times}}$

TQL formulas: tree variables and recursion

- **tree variables** X, Y, \dots may occur: $\rho : \{X, Y, \dots\} \rightarrow \text{Trees}$
- **recursion variables** ξ, \dots may occur: $\delta : \{\xi, \dots\} \rightarrow 2^{\text{Hedges}}$
- syntax and semantics:

tree variable $\llbracket X \rrbracket_{\rho, \delta} = \{\rho(X)\}$

recursion variable $\llbracket \xi \rrbracket_{\rho, \delta} = \delta(\xi)$

least fixpoint $\llbracket \mu \xi. \phi \rrbracket_{\rho, \delta} = \bigcap \{S \subseteq \text{Hedges} \mid \llbracket \phi \rrbracket_{\rho, \delta[\xi \mapsto S]} \subseteq S\}$

- all formulas considered in this talk are **recursion-closed**.
Interpretation over ρ **only**, denoted $\llbracket \phi \rrbracket_{\rho}$.

Examples without Tree Variables

- set of trees:

$\Sigma[-]$

Examples without Tree Variables

- set of trees:

$$\Sigma[-]$$

- unary trees labeled only by *a*: $\mu\xi.(a[\xi] \vee 0)$

Examples without Tree Variables

- set of trees:

$$\Sigma[-]$$

- unary trees labeled only by a : $\mu\xi.(a[\xi] \vee 0)$

$$a(a(0)) \models \mu\xi.(a[\xi] \vee 0)$$

Examples without Tree Variables

- set of trees:

$\Sigma[-]$

- unary trees labeled only by a : $\mu\xi.(a[\xi] \vee 0)$

$$a(a(0)) \models \mu\xi.(a[\xi] \vee 0)$$

$$a(a(0)) \models a[\xi] \vee 0$$

Examples without Tree Variables

- set of trees:

$\Sigma[-]$

- unary trees labeled only by a : $\mu\xi.(a[\xi] \vee 0)$

$$a(a(0)) \models \mu\xi.(a[\xi] \vee 0)$$

$$a(a(0)) \models a[\xi] \vee 0$$

$$a(a(0)) \models a[\xi]$$

Examples without Tree Variables

- set of trees:

$\Sigma[-]$

- unary trees labeled only by *a*: $\mu\xi.(a[\xi] \vee 0)$

$$a(a(0)) \models \mu\xi.(a[\xi] \vee 0)$$

$$a(a(0)) \models a[\xi] \vee 0$$

$$a(a(0)) \models a[\xi]$$

$$a(0) \models \xi$$

Examples without Tree Variables

- set of trees:

$\Sigma[-]$

- unary trees labeled only by *a*: $\mu\xi.(a[\xi] \vee 0)$

$$a(a(0)) \models \mu\xi.(a[\xi] \vee 0)$$

$$a(a(0)) \models a[\xi] \vee 0$$

$$a(a(0)) \models a[\xi]$$

$$a(0) \models \xi$$

$$a(0) \models a[\xi] \vee 0$$

Examples without Tree Variables

- set of trees:

$\Sigma[-]$

- unary trees labeled only by *a*: $\mu\xi.(a[\xi] \vee 0)$

$$a(a(0)) \models \mu\xi.(a[\xi] \vee 0)$$

$$a(a(0)) \models a[\xi] \vee 0$$

$$a(a(0)) \models a[\xi]$$

$$a(0) \models \xi$$

$$a(0) \models a[\xi] \vee 0$$

$$a(0) \models a[\xi]$$

Examples without Tree Variables

- set of trees:

$\Sigma[-]$

- unary trees labeled only by *a*: $\mu\xi.(a[\xi] \vee 0)$

$$a(a(0)) \models \mu\xi.(a[\xi] \vee 0)$$

$$a(a(0)) \models a[\xi] \vee 0$$

$$a(a(0)) \models a[\xi]$$

$$a(0) \models \xi$$

$$a(0) \models a[\xi] \vee 0$$

$$a(0) \models a[\xi]$$

$$0 \models \xi$$

Examples without Tree Variables

- set of trees:

$\Sigma[-]$

- unary trees labeled only by *a*: $\mu\xi.(a[\xi] \vee 0)$

$$a(a(0)) \models \mu\xi.(a[\xi] \vee 0)$$

$$a(a(0)) \models a[\xi] \vee 0$$

$$a(a(0)) \models a[\xi]$$

$$a(0) \models \xi$$

$$a(0) \models a[\xi] \vee 0$$

$$a(0) \models a[\xi]$$

$$0 \models \xi$$

$$0 \models a[\xi] \vee 0$$

Examples without Tree Variables

- set of trees:

$\Sigma[-]$

- unary trees labeled only by a : $\mu\xi.(a[\xi] \vee 0)$

$$a(a(0)) \models \mu\xi.(a[\xi] \vee 0)$$

$$a(a(0)) \models a[\xi] \vee 0$$

$$a(a(0)) \models a[\xi]$$

$$a(0) \models \xi$$

$$a(0) \models a[\xi] \vee 0$$

$$a(0) \models a[\xi]$$

$$0 \models \xi$$

$$0 \models a[\xi] \vee 0$$

$$0 \models 0$$

Examples without Tree Variables

- set of trees:

$$\Sigma[-]$$

- unary trees labeled only by *a*: $\mu\xi.(a[\xi] \vee 0)$

$$0 \models 0$$

- all books have been published in 2006:

$$\text{bib}[(\text{book}[- ; \text{year}[2006]])^*]$$

Examples with Tree Variables

- select all books published in 2006:

$$\text{bib}[_ ; (X \wedge \text{book}[_ ; \text{year}[2006]]) ; _]$$

- there is a year during which two books have been published:

$$\text{bib}[_ ; \text{book}[_ ; \text{year}[X]] \wedge Y ; _ ; \text{book}[_ ; \text{year}[X]] \wedge \neg Y ; _]$$

More Examples

- trees of the form $a(t, t, t, t, \dots, t)$, for all trees t :

$$a[X^*]$$

- context-free language $a^n b^n$:

$$\mu\xi. (a[0] \text{ ; } \xi \text{ ; } b[0] \vee 0)$$

Outline

- 1 Hedges, Automata and TQL
- 2 **Towards a Decidable Fragment of TQL**
- 3 Tree Automata with Global Equalities and Disequalities (TAGED)
- 4 MSO with Tree Isomorphisms Tests

Undecidability of TQL

Satisfiability Problem

Given a recursion-closed formula ϕ , are there an assignment ρ of tree variables and a hedge h such that $h \in \llbracket \phi \rrbracket_\rho$?

Theorem

The satisfiability problem is undecidable for TQL formulas.

By reduction from emptiness test of intersection of two context-free grammars.

Guarded Fragment without Tree Variables

Definition

- no tree variables
- all recursion variables are **guarded**, i.e. must occur under a location:
 $\mu\xi.(a[0] \ ; \ \xi \ ; \ b[0] \ \vee \ 0)$ is **not** guarded, while $\mu\xi.(a[\xi] \ \vee \ 0)$ **is**.

Theorem (Satisfiability and Expressivity)

- *satisfiability of guarded formulas without tree variables is decidable;*
- *guarded formulas without tree variables can define all regular hedge languages.*

Adding Tree Variables: Bounded Fragment

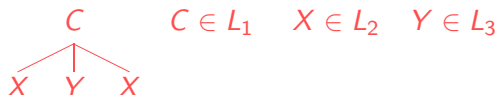
- recursions are guarded
- the number of positions where a tree is captured by a variable is bounded
- we provide a syntactic definition in the paper

Examples

$a[X^*]$	$a(t, t, \dots, t)$	not bounded
$a[\mu\xi.(X \circledast \xi \vee 0)]$	$a(t, t, \dots, t)$	not bounded
$a[X \circledast X]$	$a(t, t)$	bounded
$\mu\xi.(a[\xi] \vee X)$	$a(a(a(\dots a(t))))$	bounded

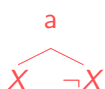
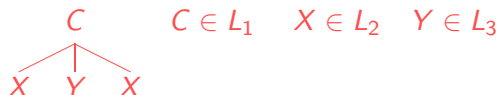
What remains?

- use recursion $\mu\xi.\phi$ to navigate by depth
- use iteration ϕ^* to navigate by width
- cannot test an unbounded number of tree equalities
- but can express at least: **non-linear tree patterns** with membership constraints of this form



What remains?

- use recursion $\mu\xi.\phi$ to navigate by depth
- use iteration ϕ^* to navigate by width
- cannot test an unbounded number of tree equalities
- but can express at least: **non-linear tree patterns** with membership constraints of this form



(*Anti-patterns*, Kirchner, Kopetz, Moreau, ESOP'07)

Main Theorem

Theorem

Satisfiability of bounded TQL formulas is decidable.

By reduction to emptiness test of bounded TAGED.

bounded TQL formula ϕ \rightarrow bounded TAGED $[[\exists X_1 \dots \exists X_n \phi]]$

where X_1, \dots, X_n are the tree variables occurring in ϕ .

Outline

- 1 Hedges, Automata and TQL
- 2 Towards a Decidable Fragment of TQL
- 3 **Tree Automata with Global Equalities and Inequalities (TAGED)**
- 4 MSO with Tree Isomorphisms Tests

Tree Automata with Global Equalities and Disequalities

A tree automata A with global equalities and disequalities (TAGED) is given by:

Q	set of states	}	hedge automaton
F	set of final states		
Δ	set of rules		

Tree Automata with Global Equalities and Disequalities

A tree automata A with global equalities and disequalities (TAGED) is given by:

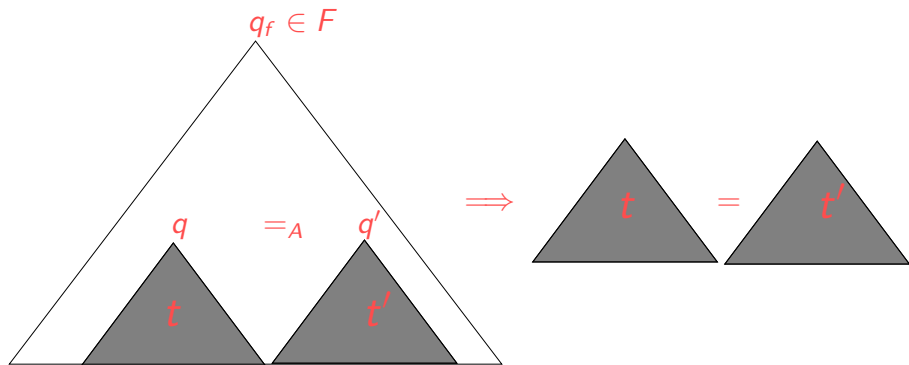
Q set of states
 F set of final states
 Δ set of rules

} hedge automaton

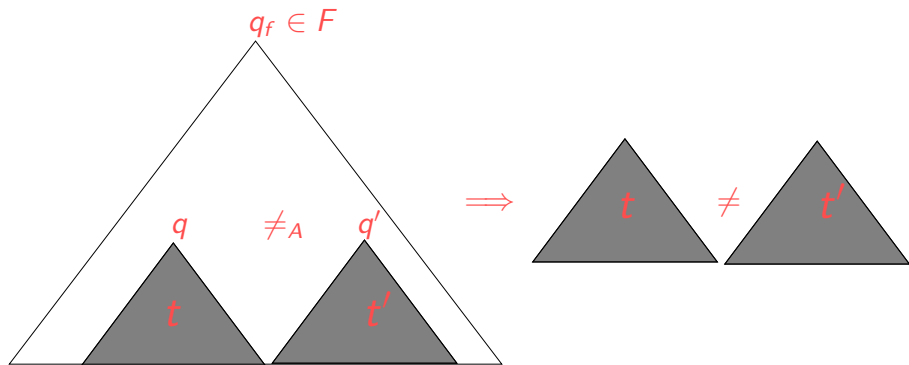
$=_A \subseteq Q^2$
 $\neq_A \subseteq Q^2$

equivalence relation on a **subset** of Q
non-reflexive symmetric relation

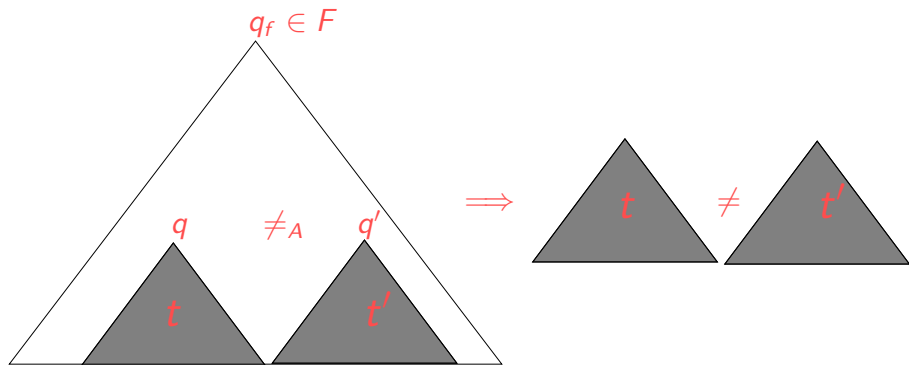
Accepting Runs



Accepting Runs



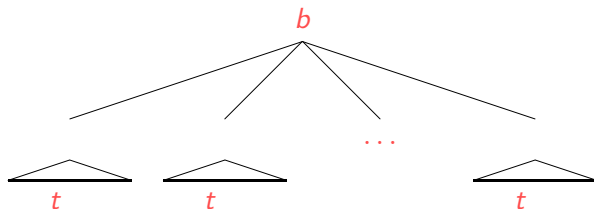
Accepting Runs



- equalities and disequalities can be tested arbitrarily faraway
- different from usual **Automata with Constraints** where tests are **local** (Bogaert, Tison, STACS'92) (Dauchet, Caron, Coquidé, JCS'95) (Karianto, Löding, ICALP'07)

Example

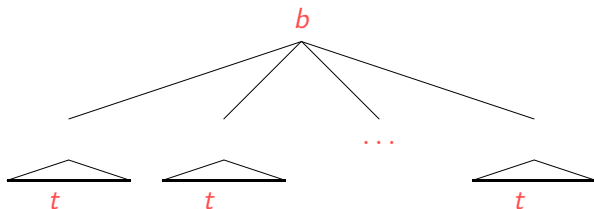
Set of trees of the form:



with t labeled only by a s

Example

Set of trees of the form:



with t labeled only by a s

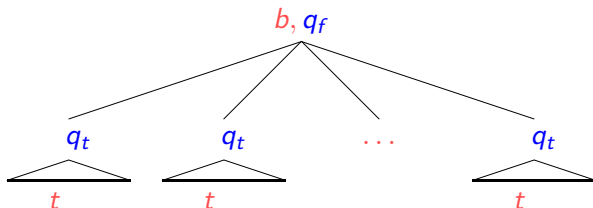
- states q, q_t, q_f
- final state: q_f
- transitions:

$$\begin{aligned} a(q^*) &\rightarrow q & a(q^*) &\rightarrow q_t \\ b(q_t^*) &\rightarrow q_f \end{aligned}$$

- equalities: $q_t =_A q_t$

Example

Set of trees of the form:



with t labeled only by a s

- states q, q_t, q_f
- final state: q_f
- transitions:

$$\begin{aligned} a(q^*) &\rightarrow q & a(q^*) &\rightarrow q_t \\ b(q_t^*) &\rightarrow q_f \end{aligned}$$

- equalities: $q_t =_A q_t$

Bounded TAGED

Definition

A bounded TAGED is a pair (A, k) where A is a TAGED and $k \in \mathbb{N}$ is a natural.

Definition (Accepting Runs)

A run is accepting if every state in the domain of $=_A$ and \neq_A occurs at most k times.

Examples

$\{ b(t, t, \dots, t) \mid t \in \text{Trees} \}$ **not definable** by a bounded TAGED.

$\{ b(t, t) \mid t \in \text{Trees} \}$ **definable** by a bounded TAGED.

Emptiness Problem

- **Input:** a (bounded) TAGED A
- **Output:** is there a tree accepted by A ?

Theorem

Emptiness problem for bounded TAGED is decidable.

Idea:

- decomposition into *configurations*
- emptiness test of every subpart of configurations
- context disunification procedure to manage inequalities

Relation to TQL

Theorem

- *Guarded TQL \Rightarrow TAGED*
- *Bounded TQL \Rightarrow bounded TAGED*
- *i.e. for all formula ϕ of guarded TQL (resp. bounded TQL) over X_1, \dots, X_n , the language $\exists X_1 \dots \exists X_n \phi$ is definable by a computable TAGED (resp. bounded TAGED).*

Idea Non-trivial generalization of the proof for the variable-free fragment.

Relation to TQL

Theorem

- *Guarded TQL \Rightarrow TAGED*
- *Bounded TQL \Rightarrow bounded TAGED*
- *i.e. for all formula ϕ of guarded TQL (resp. bounded TQL) over X_1, \dots, X_n , the language $\exists X_1 \dots \exists X_n \phi$ is definable by a computable TAGED (resp. bounded TAGED).*

Idea Non-trivial generalization of the proof for the variable-free fragment.

Corollary

Satisfiability of bounded TQL formulas is decidable.

Still open for the full guarded TQL fragment.

Outline

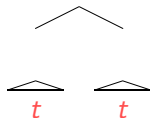
- 1 Hedge Algebra, Hedge Automata and TQL
- 2 Towards a Decidable Fragment of TQL
- 3 Tree Automata with Global Equalities and Disequalities (TAGED)
- 4 **MSO with Tree Isomorphisms Tests**

MSO with Tree Isomorphism Tests: $\text{MSO}(\sim)$

- hedges h viewed as structures over the signature
next-sibling, first-child, label $_a$, $a \in \Sigma$
- first-order variables denote **nodes**
- second-order variables denote **set of nodes**
- an new predicate $x \sim y$ to test tree isomorphisms between subtrees rooted at x and y respectively

Example

The language a in binary trees can be defined by:



$$\exists x \exists x_1 \exists x_2, \text{ root}_a(x) \wedge \text{first-child}(x, x_1) \wedge \text{next-sibling}(x_1, x_2) \wedge x_1 \sim x_2$$
$$\wedge \phi_{bin}$$

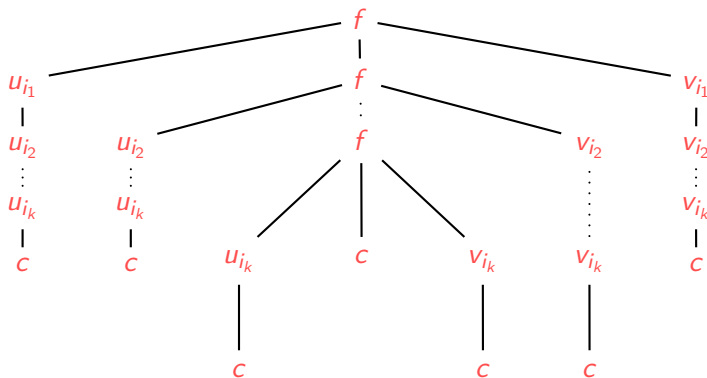
Satisfiability of $\text{MSO}(\sim)$

Theorem

Satisfiability of $\text{MSO}(\sim)$ is undecidable.

Idea (adapted from Mongy, 81)

- Start from a PCP instance $(u_1, v_1), \dots, (u_n, v_n)$
- Encode solutions $u_{i_1} \dots u_{i_k} = v_{i_1} \dots v_{i_k}$ by trees of the form:



Existential Fragment: $MSO^{\exists}(\sim)$

- Formulas of the form:

$$\exists x_1 \dots \exists x_n \psi(x_1, \dots, x_n)$$

- tests $x_i \sim x_j$ **only** on x_1, \dots, x_n in ψ

Theorem

- **expressivity:** $MSO^{\exists}(\sim)$ sentences and bounded TAGED can effectively define the same hedge languages;
- **satisfiability:** decidable for $MSO^{\exists}(\sim)$.

Work in progress: another application

Unification with membership constraints

- atoms of the form $s = s'$ or $x \in L$
- s, s' are terms with variables
- FO over these atoms is decidable (Comon, Delor, ICALP'90)

Work in progress: another application

Unification with membership constraints

- atoms of the form $s = s'$ or $x \in L$
- s, s' are terms with variables
- FO over these atoms is decidable (Comon, Delor, ICALP'90)
- add context variables C and atoms $C \in L$
- **restriction:** cannot use the same context variable in two different terms
- full FO is undecidable (even with the restriction)
- decidable for Existential FO (by using bounded TAGED)

Future Work: Emptiness of TAGED

= \neq	no test	bounded	unbounded
no test	linear	decidable	??
bounded	EXPTIME	decidable (paper)	??
unbounded	EXPTIME-complete	decidable	??